



New Business Account Profile

Important Information about Opening A New Account
To help fight terrorism funding and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We will also ask to see your driver's license or other identifying documents.

ACCOUNT NAME TIN

PRINCIPAL(S) or OWNER(S)

ACCOUNT # PURPOSE OF ACCOUNT (Operating; Lottery; Money Order; ATM, etc.)

TYPE OF BUSINESS DATE ESTABLISHED

BUSINESS STREET ADDRESS

BUSINESS MAILING ADDRESS (IF DIFFERENT)

TELEPHONE # ESTABLISHED CUSTOMER: YES (If yes, date:) NO

E-Mail

- 1. Do you sell Money Orders or Travelers Checks? YES NO
If yes, what is the dollar limit of your Money Orders to an Individual? \$
What is the level of sales of your monetary instruments on average in one day? \$
2. Do you cash personal checks for customers? YES NO
3. Do you cash checks outside of sales or over the amount of sales such as payroll, IRS refunds, disability, and social security checks? YES NO
4. Does your business conduct more than \$1,000 in business with one person in one or more transactions on the same day in one or more of the following services?
MONEY ORDERS YES NO LOTTERY YES NO
TRAVLER'S CHECKS YES NO MONEY TRANSFERS YES NO
CHECK CASHING YES NO WIRE TRANSFERS YES NO
CURRENCY DEALING OR EXCHANGE YES NO CASH ADVANCES YES NO
STORED VALUE CARDS YES NO
5. Does your business provide Money Transfer services (wires) of any amount? YES NO
If yes, does your business conduct international transaction wires? YES NO
If yes, to what countries
Do you perform money transfers as an agent for another Money Service Business? YES NO
(e.g. Western Union; Money grams)
If yes, are you registered with the Treasury Dept. and the State? YES NO
If yes, your registration number
6. Does your business receive Automated Clearing House (ACH) debits or credits? YES NO
If yes, does your business conduct transactions with foreign countries by international ACH? YES NO
7. Are you an ATM owner/operator? YES NO
If yes, who supplies the currency for the ATM? (Provide copy of contract)
Who replenishes the currency in the ATM?
8. Where is your primary trade area?

9. Do you have multiple locations? YES _____ NO _____
If Yes: give Locations _____
10. Are the principle owners of the business US Citizens? YES _____ NO _____
If No, Explanation _____
11. Do you engage in any type of Internet Gambling business? YES _____ NO _____
If yes, do you have appropriate State or Tribal licenses? YES _____ NO _____
If you have State or Tribal licenses and your legal authority to engage in Internet Gambling business should change, you must notify our bank with a written notification.
12. Has the business registered as a "Money Services Business" with FinCEN? YES _____ NO _____
If yes, provide a copy of customer's MSB Registration and their BSA/AML Policy.
13. Do you have state/local business or operation licences? YES _____ NO _____
If no, state reason _____
14. Will you frequently use our wire transfer services? YES _____ NO _____
If yes, how frequently (i.e. daily, weekly, monthly) _____ and
will the wire transfers be domestic, foreign or both? _____
15. Is the business engaged in any of the following types of business? (Check all that apply)
- Convenience Store
 - Liquor Store
 - Restaurant or Retail Store
 - Check Cashing
 - Money Transmitting (e.g. Western Union)
 - Money Orders (Selling, Issuing, Redeeming)
 - Currency Exchange
 - Poker Clubs
 - Leather goods stores
 - Car, boat, and plane dealerships
 - Ship, bus, and plane operators ("chartered services")
 - Used auto or truck dealers and machine parts manufacturers
 - Travel agencies
 - Brokers/dealers (including Investment brokers, Deposit brokers and Pawn brokers)
 - Jewel, gem, and precious metal dealers
 - Import/Export companies
 - Auctioneers
 - Professional services providers (including doctors, accountants, lawyers, title companies, and real estate closing firms)

The information I have provided on the Great Oaks Bank New Business Account Profile is correct to the best of my knowledge.

Signature of Owner or Authorized Signer **Date** _____

Employee Signature **Date** _____

COMPLETED FORM SHOULD BE ATTACHED TO THE SIGNATURE CARD AND A COPY SENT TO BSA OFFICER, KAY MCDANIEL.

04-18-2014